Supporting collaboration between regulators

Marcial Boo

Chair, UK Institute of Regulation



Regulators

Economic regulation

Ofcom Ofgem

Office for Nuclear Regulation

Ofwat

Office of Rail and Road

Utility Regulator

Water Industry Commissioner for Scotland

North Sea Transition Authority (formerly Oil and Gas Authority)

Business regulation

Advertising Standards Authority British Board of Film Classification

Independent Press Standards Organisation

Health and Safety Executive

Office for Product Safety and Standards

Civil Aviation Authority

Driver and Vehicle Standards Agency

Driver and Vehicle Licensing Agency

Vehicle Certification Authority

Competition and Markets Authority

Companies House

Animal and Plant Health Agency.

Food Standards Agency

Intellectual Property Office

Gambling Commission

Security Industry Authority

Gangmasters and Labour Abuse Authority

Single Source Regulations Office

Groceries Code Adjudicator

Environmental regulation

The Environment Agency **Natural Resources Wales**

Scottish Environment Protection Agency (SEPA)

Northern Ireland Environment Agency

Maritime Management Organisation

Maritime and Coastguard Agency

Competition and Markets Authority Medicines and Healthcare Products Regulatory Agency (MHRA)

Office for Environmental Protection Human Fertilisation and Embryology Authority

Human Tissue Authority

Health and care services regulation

Healthcare Inspectorate Wales

Northern Ireland Social Care Council

Regulatory and Quality Improvement Agency

General Medical Council

General Dental Council

Health and Care Professions Council

General Pharmaceutical Council

General Chiropractic Council

General Osteopathic Council

General Optical Council

Social Work England

UK Public Health Register

Professional Standards Authority

Scottish Social Services Council

Care Quality Commission

NHS Improvement

Care Inspectorate

Regulation of planning and housing

Planning Inspectorate

Regulator of Social Housing

Scottish Housing Regulator

Financial regulation

Financial Conduct Authority

Pensions Regulator

Office of the Regulator of Community Interest Companies

Regulation of community safety and justice

Parliamentary Commissioner for Standards

Boundary Commission for Northern Ireland

Independent Parliamentary Standards Authority

Regulating charities

OSCR

Charity Commission

Fundraising Regulator

Constitution and democratic standards regulation

Charity Commission for Northern Ireland

Independent Office of Police Conduct

Boundary Commission for England

Boundary Commission for Scotland

Boundary Commission for Wales

HMICFRS

Education regulation

Office for Students

Qualifications Wales

Ofsted

Ofqual

Commissioner for the Retention and Use of Biometric Material

Scottish Biometric Regulator

Forensic Science Regulator

Electoral Commission

Bank of England

Prudential Regulation Authority

HM Revenue and Customs

Financial Reporting Council

Insolvency Service

Payment Systems Regulator

Competition and Markets Authority

Others in professional regulation

Office for Statistics Regulation **Architects Registration Board**

Royal Institution of Chartered Surveyors

Institute of Chartered Accountants

Engineering Council

Farriers Registration Council

Chartered Institute for the Management of Sport and Physical Activity

Education Workforce Council

Regulating standards of behaviour

Equality and Human Rights Commission

Regulation of health and social care professionals

Complementary and Natural Healthcare Council

Pharmaceutical Society of Northern Ireland

Scottish Human Rights Commission

Information Commissioner's Office

National Audit Office





Economic Regulation

Utilities: water, energy, transport, telecoms

Financial Regulation

Banking, finance, insurance, competition

Public Service Regulation

Health, housing, justice, education, social work

Ethics Regulation

Constitutional and standards watchdogs

International Regulation

Internet, climate, cross-border, EU directives post-Brexit

Professional Regulation

Law, medicine, vets, engineering, statistics, built environment

Business Regulation

Food, products, health & safety, environmental health

The Institute of Regulation







Our Objectives

 To promote and provide education and training in the theory and practice of regulation. • To research, develop and promote good practice in regulation.

Our Mission

We believe that good regulation holds the key to public organisational effectiveness and social and economic prosperity. We aim to bring sector-specific knowledge sharing for best practices and improved value, and provide a framework for policy innovation, collaboration and improved public services.





... is the management of complex systems¹ according to clear rules and the law.

¹. e.g. financial services, utility markets, trading standards, public health, etc.

Regulators ...

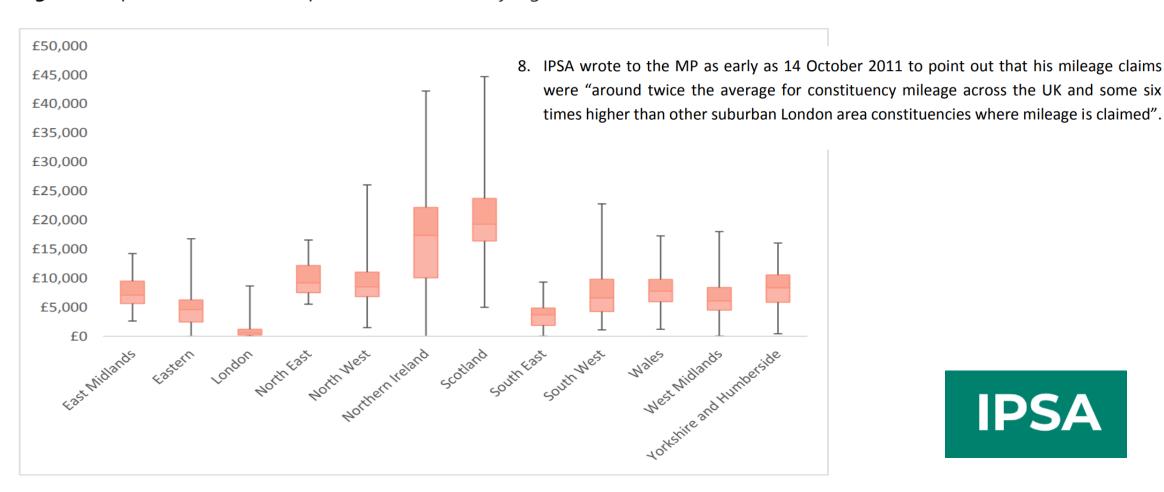
... apply rules fairly and consistently to balance two goals:

- to protect consumers and users of services, and
- to support business innovation and public service improvement.

Example of collaboration: data



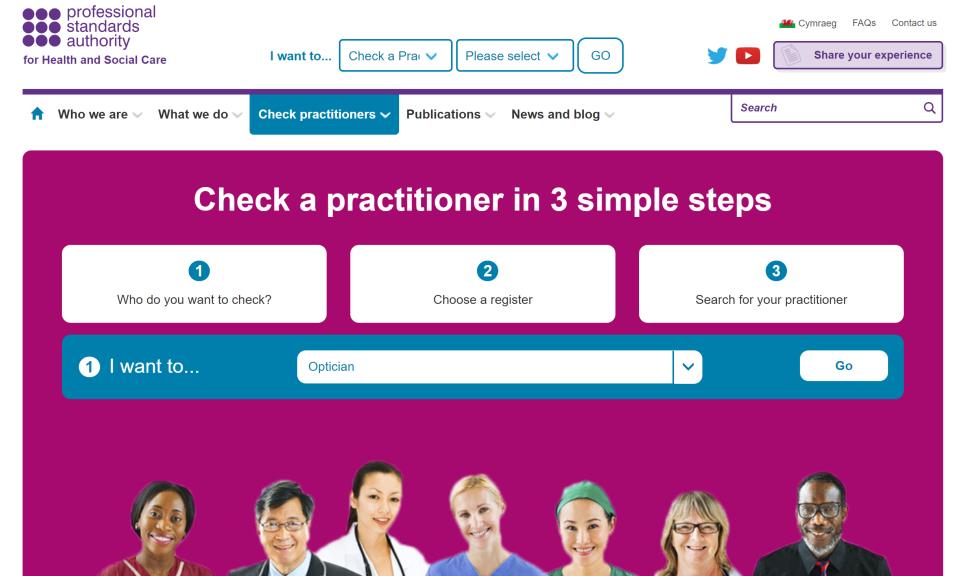
Figure 8 - Spread of total travel expenditure in 2014-15 by region.











Other areas of collaboration

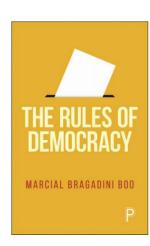
Special interest groups:

- Risk management
- Diversity and inclusion
- Enforcement
- Sustainability
- Workforce
- Regulatory policy
- Data
- Fitness to practice



Why collaboration matters

- Negative and positive accountability
- How we learn
- How organisations learn
- A shared public learning infrastructure





Appendix

7. Elected representatives establish institutions to provide the benefits to the community and to raise the money to fund them, in line with their decisions and the rules they have other services funded through taxation.

Future rules of democracy may include:

- 8. Elected representatives should exist at every level where decisions are taken that impact on the represented communities, whether these decisions are taken locally, nationally or internationally (see Chapter 3).
- How taxes are raised and spent should be wholly transparent to those paying the taxes, with sufficient tax-raising powers at every democratic level where politicians make spending decisions on behalf of their communities (see Chapter 4).
- 10. Politicians must adhere to the rule of law and the rules of democratic de
- 11. All organisations receiving taxes must be accountable both for how they spend public money and for sharing their learning and good practice (see Chapter 6).
- and the less of democracy, including by paying taxes and contributing time and community (see
- 13. Independent, accountable regulators, as well as an independent judiciary, should enforce the rules of democracy as they apply to politicians, public bodies and us (see the Conclusion).