### How can we successfully collaborate towards safer care for all?

#### Academic perspectives on collaboration

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#### Collaboration in 'Safer Care for All'

- 'Our key recommendations provide possible ways forward, to cut across organisational boundaries in a fragmented health & care landscape... to encourage co-operation, collaboration, & coherence across the system'
- **1. Tackling inequalities:** 'work collaboratively to improve the diversity of fitness to practise panels, other decision-makers & senior leadership... reduce barriers to raising complaints for particular groups'
- **2.** Facing up to the workforce crisis: 'work collaboratively to identify opportunities to speed up workforce supply'
- **3. Regulating for new risks:** 'No one body or organisation is able to take a bird's-eye-view of emerging risks... & identify possible solutions'
- **4. Accountability, fear & public safety:** 'Alleviating... tension between accountability & just learning cultures... we need... sector-wide conversation, with input from patients & service users, professionals, employers, & many others.'



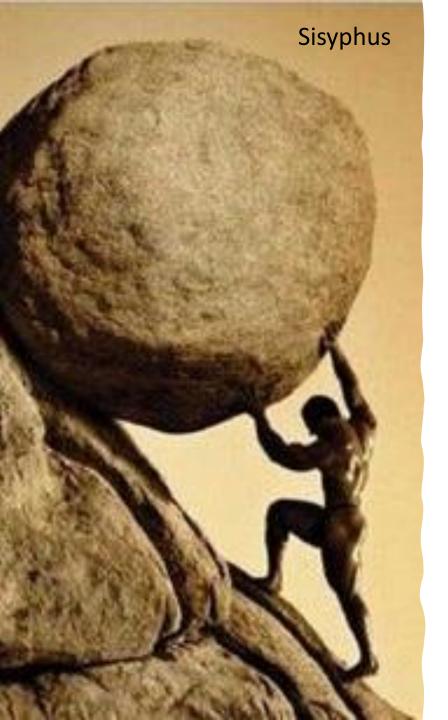
#### What is collaboration?



- Multiple definitions but broadly: Multiple actors engaged in coordinated activity addressing mutual goals
- Related terms: Cooperation, communities, networks, partnerships, alliances, etc.
- Multiple individuals, public, private, voluntary organizations (regulators), professions, patient/client communities, interest groups, etc.
- Top-down (managed, formal) or bottom-up (emergent, informal) (may change, e.g., become managed)
- Lateral & vertical; Local, national & international

### Why collaborate?

- 'Collaborative advantage': to achieved something that any one organization/group could not do alone (e.g., access to resources; sharing risk; economies of scale) (Huxham & Vangen 2004)
- To address 'wicked problems' requiring multiple stakeholder input (Ferlie et al, 2013)
- Sharing knowledge & learning
- 'Joined up working'; integration (e.g., NHS Integrated Care Systems)
- Community/user/patient engagement & democracy
- Nature of contemporary public services



# 'Collaborative inertia' (Huxham & Vangen)

- 'frequently... output from a collaborative arrangement is negligible... extremely slow... pain & hard grind are integral to successes' (Huxham & Vangen, 2000)
- Competing interests, agendas, aims for resource use
- Different social/professional/organizational norms
- Ambiguous & different aims: explicit, assumed & hidden; collaborative, organizational & individual?
- Collaborators' accountability to own home organization makes compromise difficult (Huxham & Vangen, 2004)

### Challenges leading collaboration (Huxham & Vangen 2000; 2004)

- 'Contextual leadership': structures, processes & participants
- Managing & sharing power & agendas
- Trust: Experience/reputation; future expectations
- Ambiguity, complexity, change, dilemmas, difficulties & 'partnership fatigue'
- Continual nurturing & intensive resources
- 'Don't collaborate unless you really need to!'





### **Problems Governable?**

The Case of Managed Networks in Health Care



GERRY MCGIVERN, SUE DOPSON. & CHRIS BENNETT

Leadership & governance in health care networks (Ferlie et al. 2013)

- 'Talking shops' vs 'burning platforms'
- Shared leadership (reflecting constituencies; professional & personal skill, credibility; passion; team support)
- Developed local shared governance (agree policy, target & EBP standards to draw on; agree change processes; use data collection/transparency; aim to develop desired identities)
- Using top-down targets/guidelines & clinical audit data to 'influence' identities & change

### Collaboration for improvement? (Martin & Dixon Woods, 2022)

- Four types of collaboration: Improvement collaboratives; managed clinical networks; communities of practice; clinical communities
- Evidence problems: Collaboration label & 'black box' describing diverse interventions; non-reporting of failures
- 'the evidence-base for collaboration remains insecure & contingent'
- Rely on leaders' skill & hard work, members' goodwill & commitment
- Often fail to meet expectations; 'tragedy of commons' & self-interest
- Underestimate resources, time & support needed (building work analogy)
- Require accountability & evaluation of outcomes







## Discussion & implications of collaboration

- Collaboration needed to deliver 'safer care for all'
- But ambiguous, difficult, resource intensive, 'collaborative inertia'
- Politics & competing (self) interests/ norms & risks
- Individual, collective & contextual leadership
- Gino 2019 on psychological approach to collaboration (e.g., listening, openness etc.)
- Regulation, governance, accountability, evaluation & performance management
- 'Relational' regulation perspective on collaboration

#### References & further reading

- <u>Ferlie, Fitzgerald, McGivern, Dopson & Bennett (2013) Making Wicked Problems</u> <u>Governable? The Case of Managed Health Care Networks, Oxford University Press.</u>
- <u>Huxham & Vangen (2000) 'Leadership in the shaping & implementation of collaboration agendas: How things happen in a (not quite) joined-up world'. *Academy of Management Journal*. 43(6): p. 1159-75</u>
- Huxham & Vangen (2004) 'Realizing the Advantage or Succumbing to Inertia?'
  Organizational Dynamics 33 (2) 190-201:
- Martin & Dixon-Woods (2022) *Collaboration-based approaches*. Elements of Improving Quality & Safety in Healthcare.